ATTACHMENT 2
NASA Certification Regarding Chinese
Affiliations Principal Investigator Form

This form must be completed by all George Mason University Principal Investigator(s) associated with the NASA funded project or program identified below.

**Reason:** Federal law restricts NASA from providing funding to participate, collaborate or coordinate bilaterally in any way with China or any Chinese-owned company at the prime recipient or at any sub-recipient level. The University must certify compliance with this restriction for each proposal or award and that no one working on the project or program is affiliated with China or a Chinese-owned company (an “Affiliated Person”).

NASA has defined "China or Chinese-owned company" to mean the People's Republic of China (PRC), any company owned by the PRC, or any entity incorporated or organized under the laws of the PRC; this includes Chinese colleges, universities, research institutes, and any government unit. The law is interpreted by NASA to prohibit participation in a NASA research project of any individual (paid or unpaid), regardless of nationality, who is affiliated with the Chinese Government or a Chinese-owned company. NASA does not consider this prohibition to bar the participation of Chinese nationals who are not affiliated with China, a Chinese-owned company, a Chinese University, research institute, or government unit.

"Affiliated Person" has not been formally defined by NASA. For certification purposes, please treat "Affiliated Person" as including any personnel working on a project that:

- Has, or is expected to have, a relationship (paid or unpaid) which will involve the performance of work by the individual on behalf of the Chinese government or a Chinese-owned company (including any Chinese college or university).
- Has or is expected to have, an offer of employment (full or part-time) with any of the foregoing.
- Has, or is expected to have, an honorary appointment or position with any of the foregoing.
- Is on leave from a position with any of the foregoing.
- Has bought out teaching responsibilities or otherwise taken leave from Mason to spend an extended term with any of the foregoing.
- Is collaborating as an investigator or staff on any pending or planned research project funded by any of the foregoing.
- Has any arrangement with any of the foregoing to provide advice, resources, or non-public information.

**Proposal/Award Information**

Principal Investigator [ ] Proposal Status [ ]

Award/Proposal Title

**Individual Information**

First Name [ ] Last Name [ ] Title [ ]

Answer "yes" or "no" to each of the following questions. If "yes", provide a written explanation or description in the space provided. The text fields will expand as needed.

Do you currently receive any funding (salary, grant, contract, etc.) or other support from China, a Chinese-owned company, or any Chinese research institute, university, or government unit? Yes [ ] No [ ]

Note: This would include funding to a George Mason University research center, institute, or group of which you are a member.

Description: [ ]
Do you have any active collaborations or other work-related relationships (paid or unpaid) with individuals who are employed by or acting on behalf of China, a Chinese-owned company, or any Chinese research institute, university, or government unit?

Yes □ No □

Description:

Do you have an affiliation, appointment or position (including honorary faculty appointment(s)) with China, a Chinese-owned company, or any Chinese research institute, university, or government unit, or are otherwise considered an "Affiliated Person"?

Yes □ No □

Description:

**Individual Certification**

By submitting this form, I hereby certify that the information provided above is accurate to the best of my knowledge and belief. I understand that it is my responsibility to notify the Office of Sponsored Programs (OSP) if there is a change in the affiliation status of any project member (including myself and students) during the life of this award. I also understand that I am responsible for obtaining a completed version of this form prior to adding new individuals to the project team and submitting those forms to OSP.

Individual Signature: ___________________________ Date: ___________________________

**Office of Sponsored Program**

Certification reviewed and approved by OSP Contracts? □