

**Attachment2
NASA Certification Regarding Chinese Affiliations
Team Member Form**

This form must be completed by all team members associated with the NASA funded project or program identified below .

Reason: Federal law restricts NASA from providing funding to participate, collaborate or coordinate bilaterally in any way with China or any Chinese-owned company at the prime recipient or at any sub-recipient level. The University must certify compliance with this restriction for each proposal or award and that no one working on the project or program is affiliated with China or a Chinese-owned company (an "Affiliated Person").

NASA has defined "China or Chinese-owned company" to mean the People's Republic of China (PRC), any company owned by the PRC, or any entity incorporated or organized under the laws of the PRC; this includes Chinese colleges, universities, research institutes, and any government unit. The law is interpreted by NASA to prohibit participation in a NASA research project of any individual (paid or unpaid), regardless of nationality, who is affiliated with the Chinese Government or a Chinese-owned company. NASA does not consider this prohibition to bar the participation of Chinese nationals who are not affiliated with China, a Chinese-owned company, a Chinese University, research institute, or government unit.

"Affiliated Person" has not been formally defined by NASA. For certification purposes, please treat "Affiliated Person" as including any personnel working on a project that:

- Has, or is expected to have, a relationship (paid or unpaid) which will involve the performance of work by the individual on behalf of the Chinese government or a Chinese-owned company (including any Chinese college or university).
- Has or is expected to have, an offer of employment (full or part-time) with any of the foregoing.
- Has, or is expected to have, an honorary appointment or position with any of the foregoing.
- Is on leave from a position with any of the foregoing.
- Has bought out teaching responsibilities or otherwise taken leave from Mason to spend an extended term with any of the foregoing.
- Is collaborating as an investigator or staff on any pending or planned research project funded by any of the foregoing.
- Has any arrangement with any of the foregoing to provide advice, resources, or non-public information.

Proposal/Award Information

Principal Investigator

Proposal Status

Award/Proposal Title

Mason Proposal (RAMP) Number

Team Member Information

Name

Title

Institution/Company Name

Answer "yes" or "no" to each of the following questions. If "yes", provide a written explanation or description in the space provided. The text fields will expand as needed.

1. Do you currently receive any funding (salary, grant, contract, etc.) or other support from China, a Chinese-owned company, or any Chinese research institute, university, or government unit? Note: This may include such funding that you are personally aware of to a research center, institute, or group that you belong to as a member.

No Yes

Description:

2. Do you have any active collaborations or other work-related relationships (paid or unpaid) with individuals, including planned co-authors, who are employed by or acting on behalf of China, a Chinese-owned company, or any Chinese research institute, university, or government unit?

No Yes

Description:

3. Do you have an affiliation, appointment or position (including honorary faculty appointment(s)) with China, a Chinese-owned company, or any Chinese research institute, university, or government unit, or are otherwise considered an "Affiliated Person"?

No Yes

Description:

4. Do you have any planned travel to China, any part of which involves business, scientific research or collaboration, or any other work related activities? (Such travel may trigger the NASA review requirement)

No Yes

Description:

Individual Certification

By submitting this form, I hereby certify that the information provided above is accurate to the best of my knowledge and belief. I understand that it is my responsibility to notify the Office of Sponsored Programs (OSP) if there is a change in my affiliation status during the proposal process and during the term any subsequent award.

Proposal Stage: Individual Signature:

Date:

Proposal Stage Step 2 application (if applicable):

No changes

Changes required

Individual Signature:

Date:

At time of Award:

No changes

Changes required

Individual Signature:

Date:

Office of Sponsored Program

Certification approved by Director, Pre-Award Administration at proposal stage:

Name:

Signature:

Date:

Certification approved by Director, Pre-Award Administration at proposal stage Step 2 (if applicable):

Name:

Signature:

Date:

Certification approved by OSP Contracts at award stage:

Name:

Signature